

### County of Los Angeles Department of Mental Health

Mental Health Statistical Improvement Program (MHSIP)

Consumer Perception Surveys

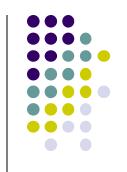
Fall 2014
Survey Training
Program Support Bureau
Quality Improvement Division

### Purpose of Performance Outcomes Survey



- ✓ The Mental Health Statistics Improvement Program (MHSIP) is a requirement of SAMHSA Community Mental Health Services Block Grant.
- Section 3530.40 of Tile 9 of the California Code of Regulations requires that semi-annual surveys be conducted.
- ✓ LACDMH uses the results of the MHSIP Survey for quality improvement purposes.
- Beginning in calendar year 2014, counties are required to administer the Consumer Perception Surveys (CPS) in May and November of each calendar year.

# SAMHSA Community Mental Health Services Federal Block Grant



California FY 2011–12 - \$54 Million

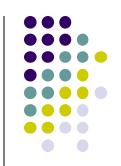
- County of Los Angeles FY 2011–12 -\$14 Million
- Funding would be put at risk for noncompliance with collecting data for CPS-MHSIP Surveys.

### MHSIP Data Collection Spring 2014



- MHSUDS Information Notice No: 14-034 was issued October 7, 2014 informing the counties of the survey period.
- Short Doyle / Medi-Cal providers have been randomly selected to participate based on Service Area, Directly Operated vs. Contracted Clinics, and Age Group.
- Providers that are not randomly selected can also participate in survey data collection if they choose to do so.
- LA County is not requiring Adult and Older Adult Survey Forms to include Quality of Life scales.

#### Service Area Survey Training & Distribution



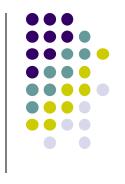
- Survey Trainings will occur from October 27- November 7, 2014.
- SA Liaisons have been provided with the names of randomly selected Short Doyle / Medi-Cal Outpatient Providers.
- Prior to November 17, 2014, Service Area Liaisons will distribute survey forms to the selected Short Doyle / Medi-Cal providers.
- PDF survey forms are available on the Program Support Bureau –QI website at: http://psbqi.dmh.lacounty.gov/Survey\_November\_2014.htm
- DO NOT use survey forms from any previous survey periods.

### **Survey Time Period**



- The official survey period is November 17-November 21, 2014. Surveys <u>SHOULD</u> <u>NOT</u> be distributed to nor completed by consumers or family members outside the official survey period.
- November 26 is the last day for Short Doyle / Medi-Cal participating providers to return completed surveys and their Tally Sheets to the Service Area Liaisons.

#### **Tally Sheets**



Each provider must complete a tally sheet for:

- 1. Completed MHSIP Survey Forms
- 2. Incomplete MHSIP Survey Forms

The tally sheets will indicate the number and language of each form returned.

### **Tally Sheets**



Each Service Area Liaison must then complete a Service Area wide tally sheet for:

- 1. Completed MHSIP Survey Forms
- 2. Incomplete MHSIP Survey Forms

The tally sheets will indicate the number and language of MHSIP Survey forms returned from each Service Area.

### **Returning Surveys**



SA Liaisons are asked to make arrangements in advance to return the completed surveys and tally sheets with QID staff:

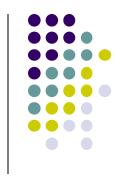
Vandana Joshi

213-251-6723

Ella Granston

213-251-6733

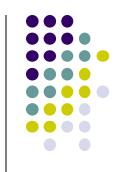
### **Important**



December 2nd - the Last Day for <u>Service Area</u> <u>Liaisons</u> to Return the completed forms to:

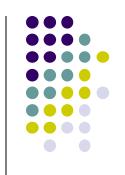
> Program Support Bureau Quality Improvement Division 695 S. Vermont, 5th Floor Suite 500 Los Angeles, CA 90005

## Transporting the Completed MHSIP Survey Forms



All safeguards must be taken to ensure the security of the survey PHI. When transporting the completed surveys: "travel strictly from Point A to Point B with no stops prior to drop off", has been quoted as a practice advised by our HIPAA privacy officer in order to reduce risk for unauthorized access when paper records are left in the car during any stops on the way to Point B or in the car at home overnight.

### HIPAA Policy 500.21 Safeguards for PHI



"3.2 LAC-DMH will implement appropriate administrative, technical and physical safeguards which will protect PHI from any intentional, unintentional or incidental use or disclosure that is in violation of the Department's Privacy Policies or the Health Insurance Portability and Accountability Act of 1996 (HIPAA) Privacy Rule. This requirement applies to all types of PHI in any form, i.e., oral, paper or electronic."

### HIPAA Policy 500.21 Safeguards for PHI

- "4.1.5 Mail: PHI should be mailed within the County's departments in sealed envelopes. PHI mailed outside the County should be sent via first class and should be concealed."
- "4.2.1.3 Paper records and clinical records that are removed from LAC-DMH premises must not be left unattended in places where unauthorized persons can gain access. Paper records and clinical records must not be left in unlocked automobiles or in view of passers-by."

### **Survey Dates**

Survey Period

Nov 17 – Nov 21

#### November

NOV Z I	/lon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	47	18	19	20	21	22
23	24	25	26	27	28	29

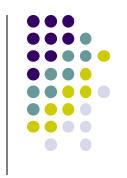
#### November/December Sun Wed Fri Sat Mon Tue Thu **Providers** Surveys & 5 2 3 8 Feedback Forms to 9 10 11 12 Service Area 15 Liaisons 19 16 17 18 22 23 24 25 **26** 27 28 29 3 6 5

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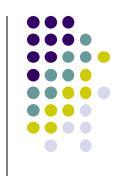
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### MHSIP Survey Instruments



- 1. Adult MHSIP (18-59 years old)
- Older Adult MHSIP (60+ years old)
- 3. Youth Services Survey (YSS) (13-17 years old)
- Youth Services Survey Family (YSS-F)
   (family members of 0-17 year old children & youth)

#### YSS-F



✓ Although Children age 12 or younger are not surveyed, parents or caregivers present at the time of the survey should complete the YSS-F survey form.



#### YSS-F



- ✓ The YSS-F can be completed by a child's or youth's primary caregiver; any person who is not compensated for providing care (i.e. aunt, uncle, grandparents, cousin, family friend, or foster parent.)
- Someone who is a compensated caregiver would be an employee of a group home. They should not complete the YSS-F survey form.



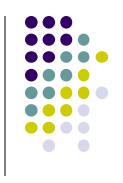
### Billing is not allowed for surveys!



 Surveys do not constitute the provision of medically necessary services.

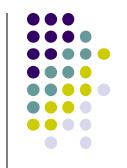
- The surveys are not clinical instruments.
- Surveys provide consumers and family members the opportunity to provide input/feedback on services for Quality Improvement purposes.

### **HIPAA & Confidentiality**



- ☑ State Law (W&I code sec. 5610)
  requires the collection of performance outcome data.
- HIPAA requirements for authorizations from consumers DO NOT APPLY!
- Rest of Privacy Rules do apply.

### Assurance of Confidentiality Statement

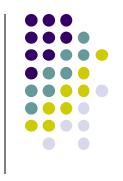


Every consumer participating is provided with the "Assurance of Confidentiality" statement below in English or Spanish, as appropriate:

"This is to assure you as a consumer receiving mental health services through the Los Angeles County Department of Mental Health that the consumer perception survey that you are about to complete is confidential. Your therapist will not see this and your responses will in no way affect your right to services. Because the Department will use the results to improve the quality of services, we are interested in your honest opinions, whether they are positive and/or negative.

Thank you for your cooperation and help in improving our services to you. If you have any issues or concerns that are serious and sensitive, please discuss/report these concerns immediately to the program manager who will assist you!"

### **Consumer Confidentiality**



- The Consumer's confidentiality must be respected and maintained during the entire survey and reporting process.
- The information obtained is confidential.
- Consumer / Family participation is voluntary.
- Survey forms will be destroyed after they have been scanned and verified. (approximately 6 months)

### Comment Section on the Adult and Older Adult Survey Forms



16.	Please provide comments here and /or on the back of this form, if needed. We are interested in both positive and
]	negative feedback. Alto, if there are areas which were not covered by this questionnant which you feel should have
ا	been rlease write them here. Thank you for your time and cooperation in completing this questionnance

Thank you for taking the time to answer these questions!

#### FURUFFICE USE UNLY:

REQUIRED Information:	Optional County Questions:
County Code:	County Question #1 (mark only ONE bubble):
	$\bigcirc 01 \bigcirc 02 \bigcirc 03 \bigcirc 04 \bigcirc 05 \bigcirc 06 \bigcirc 07 \bigcirc 08 \bigcirc 09 \bigcirc 10$

## Three (3) Comment Sections on the YSS & YSS-F Surveys



27.	What has been the most helpful thing about the services you received over the last 6 months?
28.	What would improve the services here?
29.	Please provide comments here and / or on the back of this form, if needed.
	We are interested in both positive and negative feedback.

#### Please answer the following questions to let us know how you are doing

- 1. Have you lived in any of the following places in the last 6 months? (Mark all that apply.)
  - O With one or both parents
- O Homeless shelter

O State correctional facility

### Data Collection for Face to Face Clinic / Outpatient Services Only



- For Consumers who receive
   Face-to-Face Outpatient Clinic
   Services during the survey period:
   Examples of Settings -(Not all inclusive)
  - Mental Health Services
  - Case Management
  - Medication Services
  - MHSA FSP, PEI, ISM, and FCCS -(when provided in a clinic)
  - Day Treatment
  - Wellness Centers



## Data Collection Treatment Settings & Populations Not Included



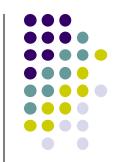
- Inpatient Settings
- Jail / Jail Hospital Settings
- Residential
- •PMRT (Crisis Stabilization) Psychiatric Emergency
- One Time Psych Testing or Assessment, No Face to Face Follow-up
- Long Term Residential or Institutional Placements
- Telephone Contact Only
- Case Consultation Only

## Data Collection Funding Stream for Short / Doyle Medi-Cal Providers Important FAQ

 For Short / Doyle Medi-Cal Providers Funding Stream is not a Factor.

 Surveys should be administered to all consumers who visit an outpatient clinic for a face-to-face service during the survey period.

### Survey Administration in Short / Doyle Medi-Cal Clinics



☐ Do not use clinical or service delivery staff for survey administration.

☐It is possible to use staff who do not provide direct clinical services to the client.



### Survey Administration in Short / Doyle Medi-Cal Clinics



The use of volunteers / peers / consumers / family advocates are recommended.



#### Completing Survey Forms



- •MOST questions have only one answer (bubble).
- •Exceptions include: what is your race? -which may have multiple answers.



If Consumer/Family Member fills incorrectly, Providers can fill In properly. Don't change the Consumer/Family Member answers!!!!!

### County Client IS Number MUST be on EVERY Page



### The County Client (IS) Number Must Be On <u>EVERY</u> Page

\*The MHSIP Consumer Survey was developed through a collaborative effort of consumers, the Mental Health Statistics nent Program (MHSIP) community, and the Center for Mental Health Services.

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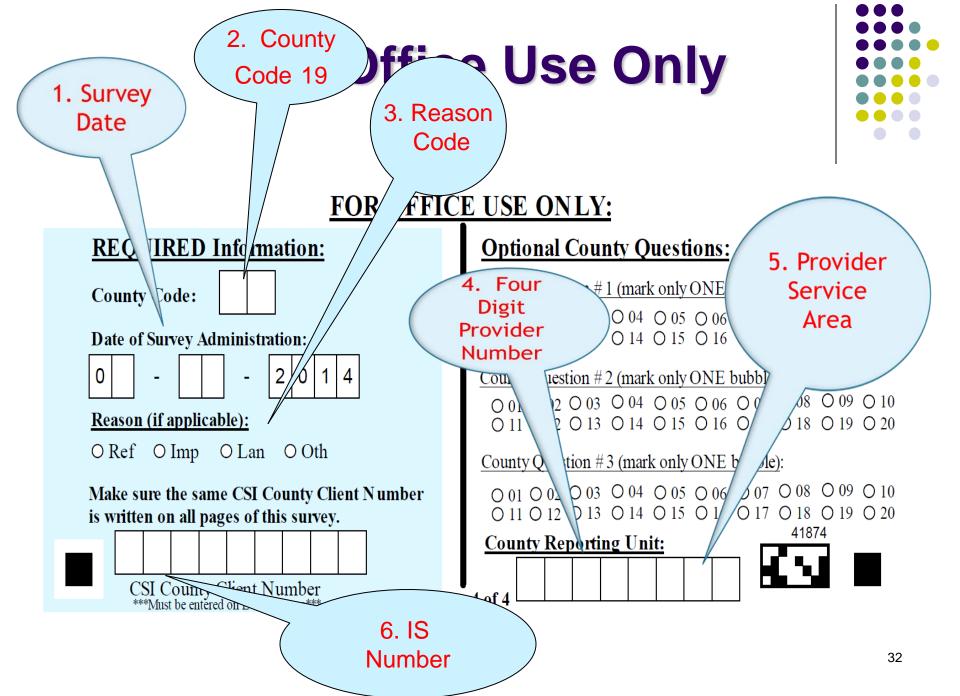


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DHCS 1740 EN (05/13)







### Incomplete Surveys Reasons for Not Completing a Survey

- 1. <u>Refused</u>: Client refused to complete the survey.
- 2. <u>Impaired</u>: Client is too impaired (mentally or physically) to complete the survey.
- 3. <u>Language</u>: Client is unable to complete survey as survey is not in a language the client understands.
- 4. Other: Any other reason not listed above.

### Survey Writing Instruments



#### ♦ Use Black or Blue Pen

#### -NO PENCILS

- No Markers
- -No Crayons
- -No Hi-lighters

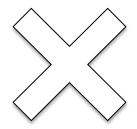
#### **Making Corrections**



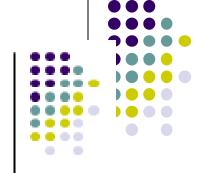


# "What if I made a mistake?"

Correct mistakes by drawing an "x" over the incorrect entry



## Adding a word to survey type title <u>DOES NOT</u> change the type of survey







ENGLISH Without QOL



Please help our agency make services better by answering some questions and will not influence current or future services you receive. For each survey item below, please fill in the circle that corresponds to your choice. Please fill in the circle completely.

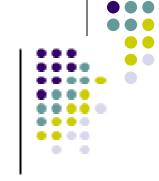
EXAMPLE: Correct Incorrect

EXAMPLE: Correct ●
MHSIP Consumer Survey\*:

Please answer the following questions based on the LAST 6 MONTHS OR if you have not received services for 6 months, just give answers based on the services you have received so far. Indicate if you Strongly Agree, Agree, are Neutral, Disagree, or Strongly Disagree with each of the statements below. If the question is about something you have not experienced, fill in the circle for Not Applicable to indicate that this item does not apply to you.

	Strongly Agree	Agree	I am Neutral	Disagree	Strongly Disagree	N ot Applicable
<ol> <li>I like the services that I received here.</li> </ol>	0	0	0	0	0	0
<ol><li>If I had other choices, I would still get services from this agency.</li></ol>	0	0	0	0	0	0

#### Deleting a word to survey type title <u>DOES NOT</u> change the type of survey





ODER ADULT SURVEY

EXAMPLE: Correct (

ENGLISH Without OOL



Please help our agency make services better by answering some questions. Your answers are confidential and will not influence current or future services you receive. For each survey item below, please fill in the circle that corresponds to your choice. Please fill in the circle completely. Incorrect X 8

MHSIP Consumer Survey\*:

Please answer the following questions based on the LAST 6 MONTHS OR if you have not received services for 6 months, just give answers based on the services you have received so far. Indicate if you Strongly Agree, Agree, are Neutral, Disagree, or Strongly Disagree with each of the statements below. If the question is about something you have not experienced, fill in the circle for Not Applicable to indicate that this item does not apply to you.

	Strongly Agree	Agree	I am Neutral	Disagree	Strongly Disagree	Not Applicable
<ol> <li>I like the services that I received here.</li> </ol>	0	0	0	0	0	0
<ol><li>If I had other choices, I would still get services from this agency.</li></ol>	0	0	0	0	0	0

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- Survey Forms are PDF Documents.
- 28 forms are available on the PSBQI website:
  - 4 Survey Types: Adult (MHSIP), Older Adult (MHSIP), Youth (YSS), Family (YSS-F)
  - In 7 Languages (Chinese, English, Hmong, Russian, Spanish, Tagalog, Vietnamese)
  - QID will send an IS blast to remind providers.

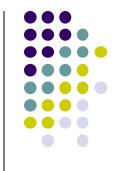




LACDMH – PSB-QID Internet Website

http://psbqi.dmh.lacounty.gov/Survey\_Fall\_2014.htm

### **Printing MHSIP Survey Forms**



 Surveys can be downloaded and printed from the PSBQI website at:

http://psbqi.dmh.lacounty.gov/Survey\_Fall\_2014.htm

 Only Print Surveys when the existing printed forms are exhausted.

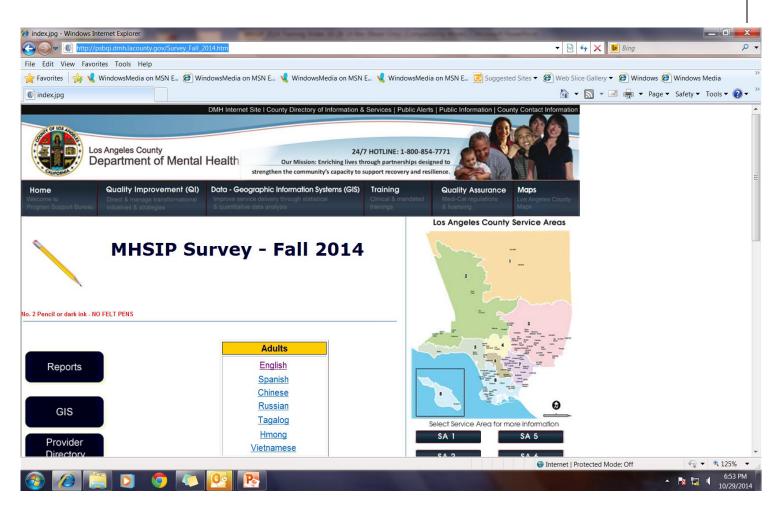
### **Printing MHSIP Survey Forms**



- You may print as many forms as you need.
- Do not photocopy the survey forms. All copiers resize images slightly and will make the forms unreadable.

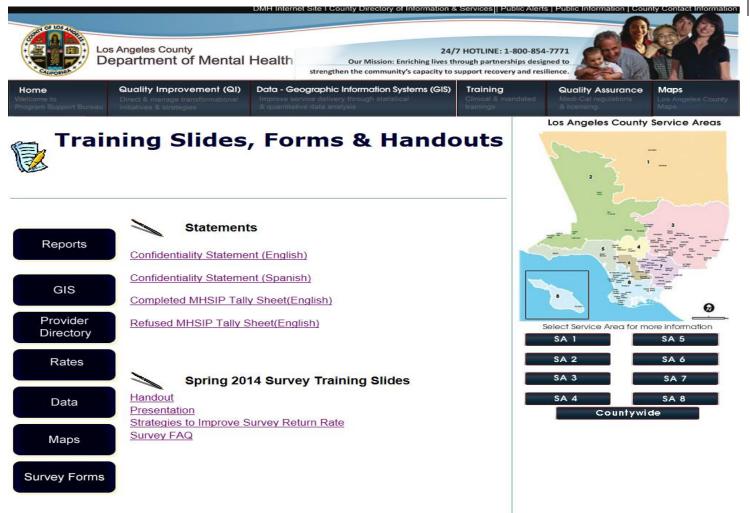
 Please use a digital printer with white paper for printing the surveys.

#### Finding Survey Forms on PSB-QID Website



### Finding Training Slides, FAQs, Statement of Confidentiality, Strategies to Improve Survey Return Rate, and a link to the Online Survey

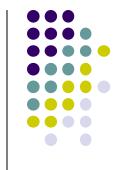




## REMINDER: MHSIP TALLY SHEETS

- LACDMH will not be scanning the surveys prior to sending them to the state.
- Therefore providers must complete a Tally sheet indicating the number of survey forms completed and returned to the SA Liaison.
- Separate Tally Sheets are required to indicate the number returned with the refusal code.
- SA Liaisons will prepare SA wide Tally Sheets indicating total surveys returned from each Service Area.





 Survey results will be made available by provider number and Service Area as soon as possible through the LACDMH Website.

#### **Questions?**

Contact your SA Liaison or QID Staff:



Dr. Vandana Joshi 213-251-6723

Ella Granston 213-251-6733